ABSTRACT

Surface texture is a critical feature in the manufacture, marketing and use of photographic paper. Raking light reveals texture through a stark rendering of highlights and shadows. Though close-up raking light images effectively document surface features of photographic paper, the sheer number and diversity of textures used for historic papers prohibits efficient visual classification. This work provides evidence that automatic, computer-based classification of texture documented with raking light is feasible by demonstrating an encouraging degree of success sorting a set of 120 images made from samples of historic silver gelatin paper.

Using this dataset of images, four university teams applied different image processing strategies for automatic feature extraction and degree of similarity quantification. All four approaches were successfully detected strong affinities and outliers built into the dataset as well as identifying outliers. The creation and deployment of the algorithms was carried out by the teams without prior knowledge of the distributions of similarities and outliers. These results indicate that automatic classification of silver gelatin photographic paper based on close-up texture images is feasible and should be pursued. To encourage the development of other classification schemes, the 120 sample “training” dataset used in this work is available to other academic researchers at www.PaperTextureID.org.

1. TEXTURE IN PHOTOGRAPHIC PAPER

Texture is a defining attribute of photographic paper. Starting in the early 20th century, manufacturers manipulated texture to differentiate their products and to satisfy the aesthetic and functional requirements of photographers. Especially prior to WWII, when black and white silver gelatin paper was the
dominant photographic medium (Messier 2008), dozens of manufacturers worldwide produced a wide array of surfaces. From this period a book of specimen prints by the Belgian company Gevaert lists twenty five different surfaces made up of combinations of texture, reflectance, color, and paper thickness (Gevaert Company of America c.1935). Around the same time, a sample book from the Defender Company of Rochester New York lists twenty seven surfaces (Defender Photo Supply Company c.1935), Mimosa twenty six (Mimosa AG c.1935) and Kodak twenty two (Eastman Kodak c.1935). Each listed surface was proprietary to the different manufacturers and each was used across their multiple brands of paper with changes, additions, and deletions occurring over a span of many years.

A vital factor in the evaluation of paper surface, texture impacts the visibility of fine detail and other qualitative features thus providing insight into the intent of the photographer and the envisioned purpose of a particular print. For example, prints made for reproduction or documentary functions tend to be better suited to smooth-surface papers that render details with sharpness and clarity whereas more impressionistic or expressive subjects, especially those depicting large unmodulated masses of shadows or highlights, are best suited for papers with rough, broadly open textures (Eastman Kodak Company c.1935). A result of a careful and deliberate manufacturing process, texture applied to silver gelatin paper is designed to be distinct and distinguishable through processing and post-processing procedures. Likewise manufacturer-applied texture endures despite localized defects such as abrasions and deterioration caused by poor handling, storage environment and enclosures. Given these attributes, an encyclopedic collection of surface textures could reveal vital clues about a photographic print of unknown origin. Ultimately a method for classifying textures could provide a means to link prints to specific photographers or to other prints of known provenance.

Previous work (Parker and Messier 2009; Messier, Messier and Parker 2010) established the practicality of the image data collection procedure used in this study and suggested that more sophisticated approaches to automated classification could yield a highly reliable texture matching methodology.

2. MATERIALS AND METHODS

2.1 A COLLABORATIVE COMPETITION

As part of the Museum of Modern Art’s (MoMA) Thomas Walther Collection project, close-up raking light images were made from 328 modernist photographs within its collection. Begun in 2008, the overarching research goal of the Walther project was to advance the scholarship about dating and characterization techniques for twentieth-century photographic materials and establish a new model for collaborative research, interpretation and interdisciplinary dialogue (Daffner 2013). Combined with the prior image classification work cited above, the MoMA texture images stimulated interest in developing an automated scheme to cluster like prints based on surface texture. Initiating a collaborative completion, an appeal was made to university teams to develop methods for sorting texture images. Invited teams had both signal processing experience and an established interest in developing cultural heritage applications. Four university teams joined the project. Table 1 lists the teams and their computational approaches described in section 3.
TABLE 1. TEAMS and COMPUTATIONAL METHODS

<table>
<thead>
<tr>
<th>Lead institution</th>
<th>Team members</th>
<th>Computational Method</th>
<th>Method classification</th>
</tr>
</thead>
<tbody>
<tr>
<td>University of Wisconsin, Madison</td>
<td>William Sethares</td>
<td>Eigentextures</td>
<td>non-semantic</td>
</tr>
<tr>
<td>(Wisconcin)</td>
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<td></td>
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</tr>
<tr>
<td>Tilburg University (Tilburg)</td>
<td>Nanne van Noord, Laurens van der Maaten, Eric Postma</td>
<td>Random-feature texton method</td>
<td>non-semantic</td>
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<tr>
<td>Ecole Normale Superieure de Lyon (Lyon)</td>
<td>Patrice Abry, Stéphane Jaffard, Herwig Wendt, Stéphane Roux, Nelly Pustelnik</td>
<td>Anisotropic wavelet multiscale analysis</td>
<td>multiscale</td>
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<tr>
<td>Worcester Polytechnic Institute (WPI)</td>
<td>Andrew Klein, Christopher Brown, Anh Hoang Do, Philip Klausmeyer</td>
<td>Pseudo-area-scale analysis</td>
<td>multiscale</td>
</tr>
</tbody>
</table>

2.2 TEXTURE IMAGE PREPARATION

The close-up texture images were acquired with a microscope system assembled using an Infinity 2-3 imager manufactured by the Lumenera Corporation fitted with an Edmund Optics VZM 200i lens, as shown in Figure 1. The imager incorporates an Interline Sony ICX262 3.3 megapixel color progressive scan CCD sensor producing images that incorporate 1536 x 2080, 3.45µm, square pixels. The imaged area on each sample measured 1.00 x 1.35 cm. Raking light close-up images were made using a fixed point illumination source using a 3 inch LED line light manufactured by Advanced Illumination placed at a 25° raking angle to the surface of the photographic paper. Each raking light photomicrograph generated an 18.2 MB, 16-bit, TIFF. Typical images are shown in Figure 2, depicting papers manufactured by Ilford and Agfa. The image capture technique is non-contact / non-destructive and therefore easily adapted for use on photographic prints of high intrinsic value. It is also relatively quick and requires minimal specialized handling meaning large image sets can be produced rapidly (excluding museum registration and logistical issues, the imaging work at MoMA on Thomas Walther collection prints was conducted over a period of three days).
(this finding does not exclude a priori that photo paper textures may possess other forms of anisotropy), the depicted surface area and resolution held sufficient texture-related information to enable classification, and the angle of illumination rendered relief without excessive loss of data in over- and underexposed areas.

This preliminary work resulted in the design of a 120 sample dataset of raking light images of photographic papers with known metadata including manufacturer, brand, date, gloss, and texture classification, and offering varying degrees of self-similarity (the Appendix lists all samples used in this study). Using only this metadata, an “expert observer” knowledgeable in the history of photographic papers and able to interpret manufacturer terminology ranked texture affinities for each possible pairing of samples. For example, the same textures made by the same manufacturer during the same time period would be expected to have a high level of affinity where a pair of textures identified as having a regularly patterned “linen” surface compared to a smooth surface would have a low affinity.

Thus classified, the dataset delivered to the teams for testing was largely composed of nine “affinity groups” of ten paper samples each. Within these groups, there were three similarity subsets: (1) images made from the same sheet of paper, (2) images made from sheets taken from the same manufacturer package of paper and, (3) images from papers made to the same manufacturer specifications over a period of time. A fourth subset, composed of 30 samples, was assembled without concern for texture similarity but instead was selected to span the range of textures associated with historic silver gelatin paper.

Applied to this dataset, the expert observer assessment reflects conventional wisdom that any raking light photomicrograph taken from different spots on a single sheet of paper should appear nearly identical. Likewise, texture images from different sheets of paper taken from the same manufacturer package also should show strong similarity. Furthermore, shots from papers manufactured to the same

2.3 DATA SETS

Sample papers dating from 1908 to 1977 were selected from a large reference collection of photographic paper. Each sample was identified by manufacturer, brand, date, and manufacturer-assigned surface designation. The reference collection and the methods used to identify the samples have been described elsewhere (Messier et al. 2004; Connors Rowe et al. 2007).

Each of the four teams was given preliminary datasets composed of 50 sample textures with some known matches. Using this set, the teams developed prototype classification algorithms. Findings from this work established basic parameters that informed the construction of the finished datasets described below: the orientation of the primary paper fiber direction relative to the raking light had no significant impact on results
specifications but made at different times should show strong similarity, but to a somewhat lesser degree. For the thirty remaining samples, selected to demonstrate diversity, some would appear similar to the group of ninety textures and some would appear to be unique. The challenge posed to the teams was to discover the similarity groupings and mismatches evident to an expert observer.

3. TECHNICAL APPROACHES

The approaches taken by the four teams can be divided into two categories (Haralick 1979; Gonzalez and Woods 2008) based on the approach to feature definition: (1) non-semantic / Wisconsin and Tilburg and (2) multiscale / Lyon and WPI. The fundamental difference is that non-semantic features are derived directly from the image data, where the multiscale approaches are based on structural models considered relevant to the encountered data. Accordingly, methods developed by Wisconsin and Tilburg extract a large number of random patches for each sample whereas Lyon and WPI analyze the samples sets through the application of predetermined features.

3.1 UNIVERSITY OF WISCONSIN, MADISON

The Wisconsin method is based on eigentextures. In this approach, a collection of small patches are chosen from each photographic image. These patches are gathered into a large matrix and then simplified to retain only the most relevant eigendirections using a singular value decomposition (SVD) (Moon and Stirling 2000). The preparation stage consists of two steps:

1. For each imaged paper $j$, randomly pick $N$ $p \times p$ pixel patches $X_{j,i} \in \mathbb{R}^{p \times p}$ for $i = 1, 2, \ldots, N$ (with $N = 2000$ and $p = 25$ in this case). Lexigraphically reorder the $X_{j,i}$ into column vectors $a_{j,i} \in \mathbb{R}^p$.
2. Create matrices $A_j = [a_{j,1}, a_{j,2} \ldots a_{j,N}]$ consisting of the $N$ column vectors and calculate the SVDs $A_j = U_j \Sigma V_j$ for all $j$. Extract the $m$ columns of $U_j$ corresponding to the $m$ largest singular values and call this $U_j$ (with $m$ selected as 15 in this case).

The $U_j$ are the representatives of the classes and may be thought of as vectors pointing in the most-relevant directions. During the classification stage, a number of similarly-sized patches are drawn from the tested photographic paper. Each of these patches is compared to the representatives of the classes via a least squares (LS) procedure.

3. Select $Q$ (with $Q = 2000$ used here) $p \times p$ pixel patches $Q_i$ from the tested paper and reorder into vectors $q_i \in \mathbb{R}^{p^2}$. Calculate the distance from the $i$th patch to the $j$th class:

$$d(i,j) = \|q_i - U_j(U_j^T q_i)\|_2^2$$

Every patch is closest to one of the classes, and the number of patches closest to the $j$th class is recorded.

5. For each patch $i$, $f_i = \arg\min_j d(i,j)$ locates the smallest of the $d(i,j)$, indicating that class $j$ is the best fit for patch $i$. Tally the set of all such $f_i$, $i = 1, 2, \ldots, Q$.

The commonest entry among the $f_i$ is the most likely class for this image. The second most common entry is the next most likely class for this image, etc.

3.2 TILBURG UNIVERSITY

The method developed by Tilburg combines random features and textons, i.e., the random-feature texton method. This method was developed by Liu and Fieguth (Liu and Fieguth, 2012) and is an adaptation of the texton approach (Varma and Zisserman. 2009) using random features. Textons are prototypical exemplar image patches capturing the “essence” of the texture of an image. Random-features (RF) are random projections of image patches with $N \times N$ pixels to vectors with $D$ elements ($N = 9$, $D = 20$, $D < N \times N$). More specifically, a random feature (RF) is defined as a $D \times N^2$ matrix, the elements of which are sampled from the standard multivariate normal distribution $\mathcal{N}(0,1)$.

The application of the random-feature texton method on the 120 sample dataset is
conducted as follows. A set of $X$ sub-images of $M \times M$ pixels is selected for each gray-value texture image in the 120 sample dataset ($M = 512$). The sub-images are defined to be the central regions of $M \times M$ pixels of which the intensity distributions are normalized to zero mean and unit variance. A sample of 45,000 randomly selected $N \times N$ ($N << M$) patches (represented as vectors of length $N^2$) of the normalised sub-images are contrast-normalised and subsequently multiplied with RFs, yielding RF vectors of length $D$.

Subsequently, a texton dictionary is created by applying k-means clustering to all RF vectors of the $X$ sub-images of each texture image of the 120 sample dataset. Each image of the dataset is transformed into a texture histogram by comparing all of its patches (represented as RF vectors) to the entries in the texton dictionary. Finally, the histograms are classified using a k-nearest neighbor algorithm using the $\chi^2$ similarity measure.

3.3 ECOLE NORMALE SUPERIEURE DE LYON

The method developed by the Ecole Normale Superieure de Lyon relies on the use of the Hyperbolic Wavelet Transform (HWT) (Devore et al., 1998; Roux et al. 2013) which is a variation of the 2D-Discrete Wavelet Transform (2D-DWT) (Mallat, 2009). The HWT explicitly takes into account the possible anisotropic nature of image textures. Indeed, instead of relying on a single dilation factor $\alpha$ used along both directions of the image (as is the case for the 2D-DWT), HWT relies on the use of two independent factors $a_1 = 2^{j_1}$ and $a_2 = 2^{j_2}$ along directions $x_1$ and $x_2$ respectively. The Hyperbolic Wavelet coefficients of imaged paper $i$, denoted as $T_i((a_1, a_2), (k_1, k_2))$ are theoretically defined as:

$$T_i((a_1, a_2), (k_1, k_2)) = \langle i(x_1, x_2), \frac{1}{\sqrt{a_1a_2}} \psi^{x_1-k_1}_{a_1} \psi^{x_2-k_2}_{a_2} \rangle.$$

From these HWT coefficients, structure functions, consisting of space averages at given scales $a_1, a_2$, are defined as:

$$S_i((a_1, a_2), q) = \frac{1}{n_{\alpha}} \sum_{\alpha} |T_i((a_1, a_2), (k_1, k_2))|^q,$$

where $n_{\alpha}$ stands for the number of $T_i((a_1, a_2), (k_1, k_2))$ actually computed and not degraded by image border effects.

To measure proximity between two images $i$ and $j$, a cepstral distance between their structure functions $S_i((a_1, a_2), q)$ and $S_j((a_1, a_2), q)$ is computed. It consists of a classical $L^p$ norm computed on log-transformed normalized structure functions:

$$d(i, j) = (\sum_{a \in \alpha} |S_i(a, q) - S_j(a, q)|^p)^{\frac{1}{p}}$$

with

$$S_i(a, q) = \frac{S_i(a, a)}{\sum_{a'} S_i(a', a)}.$$

3.4 WORCESTER POLYTECHNIC INSTITUTE

Area-scale analysis is a technique which has been applied to various problems in surface metrology (Brown et al., 1993). Much as the measured length of a coastline depends on the scale of observation and therefore the resolvability of small features, the measured area of a surface is also a function of the scale of observation. The area-scale approach uses fractal analysis to decompose a surface into a patchwork of triangles of a given size. As the size of the triangles is increased, smaller surface features become less resolvable and the “relative area” of the surface decreases. The topological similarity of two surfaces is computed by comparing relative areas at various scales. The technique has traditionally been employed on topographic data sets containing height information over a surface. Though lacking a direct measure, area-scale analysis can be applied to the images using light intensity as a proxy for height.

The proposed approach proceeds in three steps: (1) preprocessing, (2) feature extraction, and (3) classification. The preprocessing step extracts a square $N \times N$ region from the center of the image (where $N$ was chosen to be 1024), and normalizes the intensity of the resulting extracted image. The
\( N \times N \) grid of equally spaced points (representing pixel locations) is decomposed into a patchwork of

\[
2\left( \frac{N}{s} - 1 \right)^2
\]

isosceles right triangles where \( s \) is a scale parameter representing the length of two legs of each triangle. The pixel values at each of the triangle vertices are then taken as the “pseudo-height” of each of the vertices. The area of each triangle in 3-D space is then computed and the areas of all triangular regions are summed, resulting in the total relative area \( A_s \) at the chosen scale \( s \). To conduct feature extraction, the relative area for an image is computed over a range of scale values; in this study, 8 scale values were used ranging from 1 pixel to 34 pixels, which correspond to lengths of 6.51 \( \mu \)m to 0.221 mm, respectively. Finally, to classify and compare the similarity of two images \( i \) and \( j \), a \( \chi^2 \) distance measure \( d(i, j) \) is computed via

\[
d(i, j) = \sum_{s \in S} \left( \frac{A_s^{(i)} - A_s^{(j)}}{A_s^{(i)} + A_s^{(j)}} \right)^2
\]

where \( A_s^{(i)} \) is the relative area of image \( i \) at scale \( s \) and \( S \) is the set of chosen scale values. Small values of \( d(i, j) \) indicate high similarity between images \( i \) and \( j \), while large values indicate low similarity.

4. RESULTS AS AFFINITY MAPS

Based on the results from the expert observer and each teams’ automatic classifiers, the degree of similarity (affinity) was tabulated for each possible pairing of images in the 120 sample dataset. These scores were then converted to a grey-scale with the darkest intensities indicating the greatest affinity and the lightest the least affinity.

To visualize these values a table containing 120 rows and 120 columns was created, one row and column for each sample in the data set. Each of the resulting 14,400 cells in the table was shaded according to the similarity of compared samples with black describing an exact match, white a total mismatch and grey-scale values in between describing a range of better or worse similarities. For example, the top diagram in Figure 3, shows predicted similarities within the sample group suggested by the metadata including manufacturer, texture, brand, and date. As expected, the nine dark blocks starting in the upper left and continuing down along the diagonal show a high degree of affinity (dark gray and black) as these blocks depict the nine groups of similar textures. Lesser degrees of similarity are scattered throughout the figure with the 30 samples selected to show diversity (poorer levels of similarity) falling in the lower right quadrant and along the right side and bottom edge.

Gray-scale affinity maps produced to display the results from each of the four teams are also shown in Figure 3. The principal similarity among the five affinity maps in Figure 3 are the nine dark squares along the upper left to lower right diagonal. Given the construction of the dataset, these blocks should be dark due to the high affinity of the samples in these groups. The light stripes in the right and bottom quarters of the affinity maps, due to some relatively matchless textures among samples 91-120, are also shared by all five affinity maps. While small local differences among the five maps indicate that work remains to find an ideal automated scheme, striking fundamental similarities between the metadata-based affinity map and the four produced by automated schemes indicate raking light close-up images have sufficient texture information to support the automated classification of historic photographic papers.

5. OBSERVATIONS

As shown in Figure 3 there is a relatively high level of agreement between the affinity pairings prepared by the classification algorithms and those derived from metadata and subject-matter expertise. As discussed in the previous section, the principal correspondence among the five affinity maps is the nine dark squares along the diagonal running from upper left to
lower right. Given the construction of the dataset, the samples in these blocks are very similar and these texture affinities were recognized both by a subjective metadata sort and by the four automated solutions. In addition, both the expert observer and automated solutions were sensitive to the increased levels of diversity within samples 81-90 (ninth dark block on the diagonal) that track a manufacturer’s surface over a fairly extended period of twenty two years. Besides the nine similarity groupings added to the dataset by design, both the expert observer and the automated solutions discovered another strong affinity between subsets 11-20 and 31-40 (shown along the cross diagonal axis adjoining the third dark square on the diagonal). As shown in the Appendix, these samples have the same manufacturer, brand, surface designation, and date but are taken from different paper packages.

These findings are reinforced by Figure 4 which shows a normalization of the distances between each texture pairing within the tested groups. The shape of the curves are remarkably consistent with the automated solutions and the expert observer detecting very similar degrees of affinity across the groups. The chart confirms there is no measurable difference between texture images made from the same sheet of paper as compared to images made from different sheets from the same manufacturer package. Further, depending on the technique, textures within the same manufacturing standard produced over time show fair to good levels of similarity. These results, though not a surprise given high levels of manufacturing regularity, are important for the possible development of future systems that rely on indices of known “exemplar” textures to identify unknowns.

6. CONCLUSIONS AND NEXT STEPS

This project opens a path toward a machine vision system that provides meaningful results for the study of photographic prints. To have meaning, an automated classification system cannot produce results simply based on an internal, self-referential “sameness/difference”
parameter but instead must render results that are relevant to trained practitioners such as conservators and curators. For example, the images made from ten spots on the same sheet of paper, though totally different images, need to be recognized as the “same.” Likewise the two other similarity groups made from different sheets from the same manufacturer package and from papers manufactured to the same standard must be recognized as related. A useful system needs to reliably cluster these groups together though be discriminating enough to set these groups apart from others that might have similar textures but, for example, are made by different manufacturers. Using different techniques, each of the four teams met this standard. The fundamental outcome of this experiment is the intuitive expert observer conception of a classification system based on sameness / difference can be replicated through imaging and signal processing techniques.

Specific to each technique, more work is required to discover potential strengths and weaknesses. Though all technique produces results similar to those predicted by the expert observer, subtle differences among the techniques are possible and have yet to be determined. For example, one technique may show advantages over another when it comes to evaluating different types of surfaces (i.e. pattered versus random feature distribution). Most likely fully-realized systems for classifying textures would incorporate the means to toggle between each of these techniques as well as to aggregate results across multiple methods.

Such systems could engender new modes of scholarship based on the discovery of materials-based affinities. Work at MoMA is underway to determine how these techniques might meaningfully be applied to prints in its Thomas Walther collection. Moving forward, reference libraries of surface textures, containing papers grouped by photographer or paper manufacturer can be assembled and used as a basis of comparison. This work is already underway through the assembly of large paper reference collections categorized by manufacturer, brand, surface finish, and date as well as for individual artists including Man Ray (1890-1976) and Lewis Hine (1874-1940). With standardized imaging techniques and a networked infrastructure, conservators could query such texture libraries to detect similar papers held by other collections, potentially characterizing and identifying works in their collection as well as revealing relationships within an artist’s body of work and between artists. These methodologies are being applied to other media, including ongoing work with ink-jet papers (Messier, Johnson et al. 2013) and the platinum papers of F. Holland Day (1864-1933).

A website, www.PaperTextureID.org, has been created to distribute the dataset of silver gelatin textures used as the basis for this study. In addition, an ink-jet paper dataset composed using similar specifications is also posted at this site. The availability of these image sets should encourage and assist other teams to develop their own automated classification and sorting schemes.

ACKNOWLEDGEMENTS

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Appendix: PAPER SAMPLES USED IN THE SILVER GELATIN DATASET

For the following tables ID is the sequential numbering system suggested by the teams following image processing. Date refers to the paper expiration dates applied to manufacturer packages or estimates made based on packaging, M ID is the Messier Reference Collection catalog number. Other descriptors, such as brand and paper characteristics are taken directly from the manufacturer packaging.

<table>
<thead>
<tr>
<th>ID</th>
<th>Manufacturer</th>
<th>Brand</th>
<th>Texture</th>
<th>Reflectance</th>
<th>Date</th>
<th>M ID</th>
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<tr>
<td>1-10</td>
<td>Kodak</td>
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<td>C - Smooth</td>
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<td>10</td>
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<td>Luster</td>
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<td>2952</td>
</tr>
<tr>
<td>21-30</td>
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<td>Rano Kraftig</td>
<td>N/A</td>
<td>Chamois</td>
<td>1910</td>
<td>4869</td>
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<tr>
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<td>Special Grained</td>
<td>Half Matt</td>
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<td>Glossy</td>
<td>1935</td>
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<td>Glossy</td>
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<td>Semi Matte</td>
<td>1947</td>
<td>112</td>
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<td>Dupont-Defender</td>
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### Pursuing Automated Classification of Historic Photographic Papers from Raking Light Images

Johnson, Messier et al. 2014

Submitted to the *Journal of the American Institute for Conservation*, April 2014

11

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REFERENCES


AUTHOR BIOGRAPHIES

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