

Review

Effects of active and passive tobacco cigarette smoking on heart rate variability [☆]Petros C. Dinas ^a, Yiannis Koutedakis ^{b,c}, Andreas D. Flouris ^{a,d,*}^a FAME Laboratory, Institute of Human Performance and Rehabilitation, Centre for Research and Technology Thessaly, Greece^b Department of Exercise Sciences, University of Thessaly, Trikala, Greece^c School of Sport, Performing Arts and Leisure, University of Wolverhampton, Walshall, UK^d Department of Research and Technology Development, Biomnic Ltd., Trikala, Greece

ARTICLE INFO

Article history:

Received 4 May 2011

Received in revised form 21 October 2011

Accepted 30 October 2011

Available online 17 November 2011

Keywords:

Secondhand smoke

NN intervals

Autonomic nervous system

HRV

Environmental tobacco smoke

ABSTRACT

Given the widespread incidence of smoking as well as its deleterious health effects, it is crucial to examine practical and cost effective prognostic markers assessing its health impact. Heart rate variability (HRV) is a straightforward and cost effective technique to foresee health problems of cardiovascular nature and may be used to predict in advance smoking-induced health effects. In this review we evaluate the existing biological evidence regarding the effects of smoking on HRV and their associated cardiovascular consequences. In addition, we summarize fundamental information on the various HRV indicators and their diagnostic significance in relation to heart failure. An in depth analysis of the various HRV indices characterizing changes in the activation of the autonomic nervous system is provided together with a critical evaluation of all evidence published to date on the influence of chronic and acute active and passive smoking on HRV. Overall, the vast majority of published evidence suggests that acute and chronic active and passive smoking generate marked disruptions in the normal autonomic nervous system functioning characterized by increased sympathetic drive and reduced HRV and parasympathetic modulation. The proposed mechanisms that may generate this smoke-induced HRV reduction as well as its clinical implications are thoroughly evaluated.

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1. Introduction

A vast number of studies have demonstrated that active smoking generates a number of unfavorable health effects, including an increased risk for stroke, multiple cancers, lung cancer, emphysema, and heart disease [1–3]. Based on recent evidence, there will be more than 8 million smoking-related deaths every year by 2030, while the total smoking-induced deaths during the 21st century will reach one billion [4]. This is because, despite the adoption of stricter antismoking campaigns in many countries, more people smoke today than during any other time in human history [estimated to > 1.25 billion adults] [1,5]. Indeed, the prevalence rates of smoking are steadily increasing [1,6] primarily among young girls [7–9] and a further global expansion of the tobacco epidemic is projected in the near future [1].

Given the widespread incidence of smoking as well as its deleterious health effects, it is crucial to examine practical and cost effective prognostic markers assessing the impact of smoking on cardiovascular health. Heart rate variability (HRV) is a straightforward and cost

effective technique to foresee health issues of cardiovascular nature and can be used to predict in advance smoking-induced health effects that may arise in the future [10]. Indeed, HRV abnormalities are linked with many cardiovascular diseases, including ischemic disease [11] and heart failure [12], and provide prognostic information for adverse outcomes [13]. However, to our knowledge the evidence linking smoking with changes in HRV and, in turn, cardiovascular abnormalities have not been critically reviewed. Therefore, the aim of this review is to critically evaluate the existing biological evidence regarding the effects of smoking (both active and passive) on HRV and their associated cardiovascular consequences. In addition, we summarize fundamental information on the various HRV indicators and their diagnostic significance. We envisage that the information provided will be valuable not only to physicians and scientists, but also to those interested in personal or public health, politics and economics. In order to achieve the above, a comprehensive search in PubMed was conducted using MeSH terms that are germane to active and passive smoking, HRV, autonomic function, and health effects (particularly of cardiovascular nature). The search also included the articles cited in the identified papers.

2. Heart rate variability (HRV)

HRV is calculated based on the time difference between repeated heart beats [3,14]. As such, it characterizes changes in the activation of the autonomic nervous system which comprises a neural network

[☆] Grant support: This work was supported in part by funding from the European Union 7th Framework Program (FP7-PEOPLE-IRG-2008 grant no. 239521).

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that automatically controls a number of bodily actions (e.g., circulation, digestion) through a series of positive and negative feedback loops [14–16]. The autonomic nervous system is divided anatomically into the sympathetic nervous system (SNS) and the parasympathetic nervous system (PNS). A number of organs, including the heart, are innervated by both SNS and PNS, which generally have opposing actions. The transmitter substance at SNS nerve endings is norepinephrine, while some preganglionic sympathetic fibers pass directly to the adrenal medulla that can release epinephrine. Both norepinephrine and epinephrine activate effector organs by acting on α -, β_1 -, or β_2 -adrenoceptors [16]. On the other hand, the nerve endings of the PNS fibers release acetylcholine that acts on the different effector organs by activating muscarinic receptors [16]. The actions of SNS and PNS stimulation on a variety of organs are summarized in Fig. 1.

HRV describes the variations of the RR intervals (i.e., the time elapsing between two consecutive R waves in the electrocardiogram) and can be used as a trustworthy expression of the many physiological factors modulating the normal heart rhythm [3,14]. However, the relationship between different modalities of neural activity and HRV is not similar [17]. As shown in Fig. 1, the SNS acts to increase heart rate and plays an essential role in cardiovascular regulation in both health and disease [18]. On the other hand, the PNS acts to lower heart rate [16]. Based on this mechanism, the heart rate rhythm and the contraction strength of the cardiac muscle are a consequence of the opposing influences exerted by the SNS and the PNS [19]. At rest, the activation of the two systems must be comparable [15,19]. Increased SNS activation or decreased parasympathetic tone during periods of rest may suggest propensity to ventricular fibrillation as

well as abnormalities such as hypertension, diabetes, cardiovascular diseases, or psychological problems [15,19,20] and have been proposed as mechanisms explaining the associations of reduced HRV with increased mortality [20–22]. On the other hand, increased PNS activation at rest is an indicator of physical prowess, overall health and young biological age. Furthermore, HRV may be age-dependent. For instance, HRV is reduced between ages 5 and 10 years after high SNS activity during infancy [19]. To the best of our knowledge there is only one study that examined the age dependent differences in HRV, in smokers. Hayano and colleagues reported that NN intervals were lower in moderate smokers and heavy smokers > 30 years old than in moderate smokers and heavy smokers \leq 30 years old. These results showed that HRV may be age dependent also in smokers [10]. Thus it is evident that HRV provides us with evidence that may predict heart abnormalities and it is linked with cardiovascular mortality [3,20–22].

Previous research has shown that active and passive smoking affect cardiovascular function by disrupting normal autonomic nervous system functioning [19].

Indeed, HRV measurements have shown that active [10] and passive [23] smoking generate both acute and chronic changes in autonomic cardiac control. Generally, active and passive smoking appear to decrease HRV and increase cardiac vulnerability [10,19,24] and arrhythmia susceptibility [25]. Furthermore, autonomic nervous system might alter by smoking via stimulation of pulmonary C-fibers in dogs [26] as well as via stimulation of capsaicin-sensitive lung vagal afferents, mainly C-fibers in rats [27]. Moreover, smoking via nicotine activity alters pulmonary sensory neurons [28].

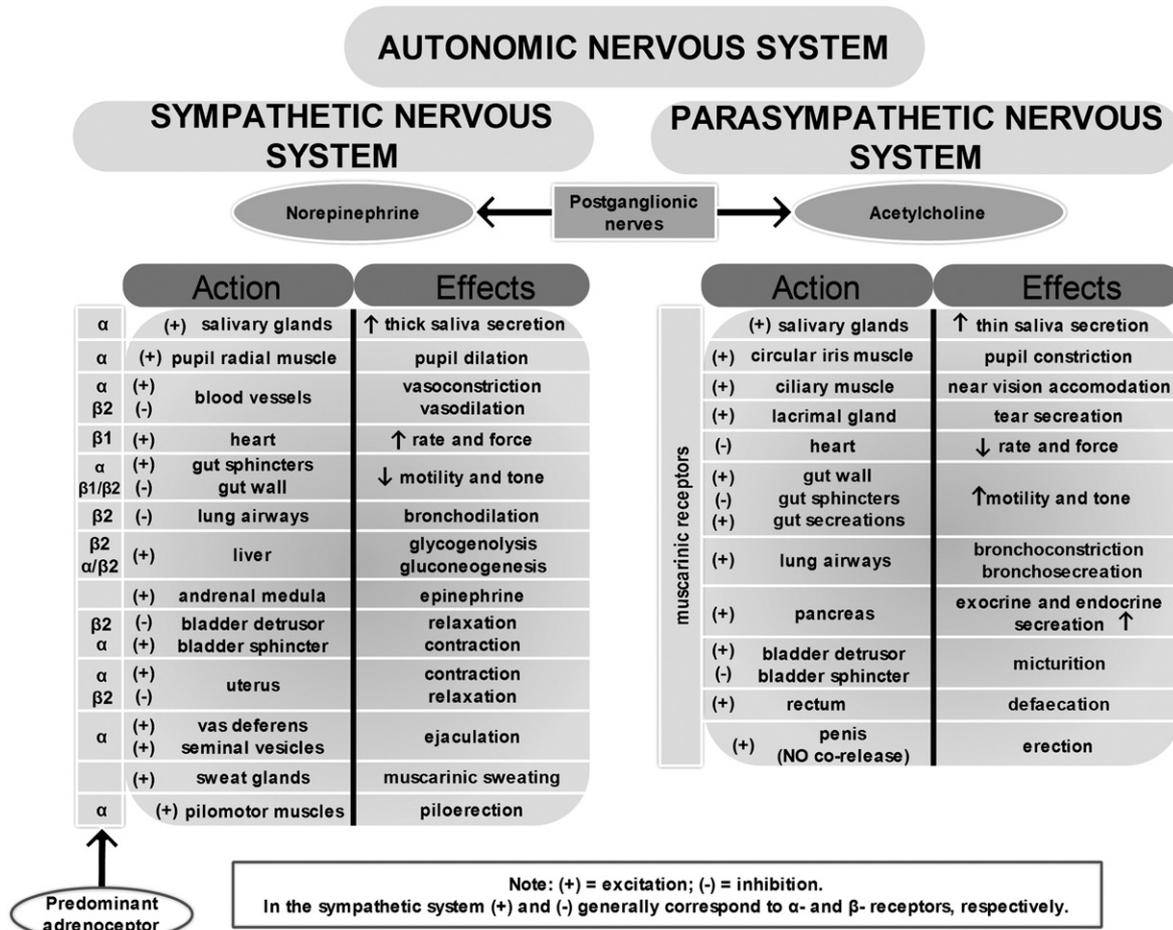


Fig. 1. Diagram of the autonomic nervous system and the actions of the sympathetic (SNS) and parasympathetic (PNS) subsystems stimulation on a variety of organs.

3. Indicators of HRV analysis

Wolf et al. [29] first recognized that reduced HRV is linked with an increased risk for postinfarction mortality. Consequently, power spectral analysis of heart rate fluctuations was introduced to quantitatively evaluate beat-to-beat cardiovascular control [30]. These analyses provided with indicators that enhanced knowledge regarding the autonomic background of RR interval fluctuations in the heart rate record [31,32]. At present, measurements of HRV are fundamentally composed of average, oscillatory, and non-linear components and are obtained usually through electrocardiography or specialized heart rate monitors [3,17,33–36]. HRV recording is performed by an algorithm counting system which provides indicators connected with the autonomic nervous system activity with regard to the activation of the SNS and PNS. The various indicators of HRV are based either on the calculation of time difference between successive RR intervals (i.e., time-domain methods) or on the distribution of power (variance) as a function of frequency of the time difference between successive RR intervals (i.e., frequency-domain methods).

3.1. Time-domain methods

Time-domain methods are the simplest form of HRV analysis and are based on determining either the heart rate at any point in time or on the intervals between successive normal complexes. Using a continuous electrocardiogram record, each QRS complex is detected and the normal-to-normal (NN) intervals (i.e., intervals between adjacent QRS complexes resulting from sinus node depolarizations) or the instantaneous heart rate is determined. The time-domain methods provide with a number of HRV indices that derive from statistical or geometric analyses.

3.2. Statistical indices

The statistical indices are based either on direct measurements of the NN intervals/instantaneous heart rate or on the differences between NN intervals. The most commonly used statistical indices include:

1. Standard deviation of the average NN intervals [SDANN; in milliseconds (ms)] calculated over successive short-period recordings with a normative SDANN value of 127 ± 35 ms [3,14]. Since SDANN is largely dependent on the duration of monitoring period, the common methodology used to derive SDANN values is to separate 24-h recordings into short term 5-min monitoring periods [3]. SDANN values reflect all the cyclic components responsible for variability in the period of recording and, if calculated based on 5-min recordings, it characterizes the long-term components of overall HRV activity [3,41].
2. SDNN, the standard deviation of the average NN intervals calculated over short-period recordings (usually 5 min) and it is driven by short-term components of overall HRV activity [3,41].
3. The root mean square of differences of successive NN intervals (RMSSD; in ms) with a normative value of 27 ± 12 ms is driven primarily by SNS activation [3,14,37].
4. Count number of pairs of NN intervals that differ more than 50 ms (NN50) indicating PNS activity [3,14].
5. The percentage value of pairs of NN intervals (pNN50%) that differ more than 50 ms characterizing the PNS component of autonomic function [3,14].

3.3. Geometric indices

The geometric indices derive from converting the time-domain series of NN intervals into a geometric pattern such as the sample density distribution of NN interval durations, sample density distribution of

differences between adjacent NN intervals. Thereafter, the variability of the resulting pattern is assessed based on the geometric and/or graphic properties. The most commonly used geometric indices include:

1. The integral of the sample density distribution of NN intervals divided by the maximum of the density distribution (NN triangular index) with a normative value of 37 ± 15 ms [3,14]. This indicator characterizes overall HRV measured over 24 h and it is driven primarily by SNS but it is also influenced to some degree by the PNS [3].
2. Baseline width of the minimum square difference triangular interpolation of the maximum of the sample density distribution of NN intervals (TINN; in ms) that characterizes primarily the SNS but may be also influenced to some degree by the PNS [3].

3.4. Frequency-domain methods

The frequency-domain indicators of HRV are based on the distribution of power (variance) as a function of frequency of the time difference between successive NN intervals, also known as power spectral density. The methodologies used to estimate the latter are classified into parametric and nonparametric which, in most cases, provide comparable results. A detailed discussion of the advantages and disadvantages of parametric and nonparametric methods is beyond the scope of the present review and can be found elsewhere [3]. It is crucial to indicate that the VLF, LF, and HF power components are measured in absolute values of power (m^2). However, LF and HF may be measured by normalized units, which represent the relative value of each power component. This measurement may indicate the controlled and balanced behavior of the ANS [3]. The most commonly used frequency-domain indices distinguished in a spectrum calculated from short-term recordings of 2–5 min [30,32,38–40] are:

1. Very Low Frequency (VLF) in the range of 0.0033–0.04 Hz [14,41]. The physiological interpretation of VLF in relation to autonomic function warrants further elucidation.
2. Low Frequency (LF) band in the range of 0.04–0.15 Hz. It has been suggested that SNS activation is the main contributor of LF, particularly when LF is expressed in normalized units. There is some controversy, however, as others have suggested that LF is also influenced by PNS activity [3,14,41,42].
3. High Frequency (HF) band in the range of 0.15–0.40 Hz is suggested to be mainly driven by respiration and PNS activity [3,14,41].
4. The ratio of LF and HF frequency band powers (LF/HF), with normative values of 1.5–2.0 indicating the balance between SNS and PNS [3,14].
5. The total variance of all NN intervals, called total power, corresponds to the sum of all spectral bands (i.e., 0.0–0.5 Hz) [3,14,41].

It is important to note that frequency-domain indicators can be also used to analyze the sequence of NN intervals of the entire 24-hour period. In this case, however, the result also includes an ultra low frequency (ULF) band (in addition to VLF, LF, and HF components) between 0 and 0.0033 Hz [3].

4. Active smoking and HRV

4.1. Chronic effects

The influence of chronic active smoking on HRV has been studied extensively (Table 1). The first published evidence was provided by Penny and Mir [43] demonstrating a decreased HRV in chronic cigarette smokers compared to non-smokers. In the following years, a number of epidemiological studies were conducted, the vast majority of which confirmed that HRV is decreased in chronic active smokers. Specifically, Hayano and colleagues found a decreased vagal activation in heavy

Table 1
Acute and chronic effects of active smoking on HRV.

Study	History	Main Findings
Penny and Mir [43]; Eryonucu et al. [46]	Chronic	Lower HRV compared to non-smokers
Hayano et al. [10]	Chronic	Lower vagal activation in heavy smokers compared to non-smokers
Levin et al. [44]	Chronic	Lower HRV in heavy smokers (≥ 10 pack-years, > 1 pack/day)
Kupari et al. [45]	Chronic	Lower HRV in smokers ≥ 10 cigarettes/day compared to non-smokers
Eryonucu et al. [46]; Xu and Wang [52]; Pope et al. [51]; Min et al. [50]	Chronic	Lower total HRV parameters compared to non-smokers
Alyan et al. [47]	Chronic	Higher LF, LF/HF and lower HF compared to healthy non-smokers
Fifer et al. [48]	Chronic	Decreased HRV during pregnancy
Thiriez et al. [49]	Chronic	Smoking during pregnancy associated with decreased offspring HRV
Minami et al. [53]	Chronic	Smoking cessation increased HRV within seven days
Yotsukura et al. [54]	Chronic	Smoking cessation increased HRV within seven days
Murata et al. [55]	Chronic	No relationship between current smoking status and HRV
Kageyama et al. [58]	Chronic	No relationship between current smoking status and HRV
Hayano et al. [10]	Acute	Decreased PNS modulation within three minutes after smoking
Niedermaier et al. [24]	Acute	Reduced PNS activity to the cardiac muscle due to smoking
Lucini et al. [57]	Acute	SNS dominance and reduced PNS modulation and overall HRV compared to non-smokers
Kobayashi et al. [58]	Acute	SNS activity increased and PNS activity decreased within five minutes after smoking one cigarette
Karakaya et al. [37]	Acute	Increased LF/HF and reduced mean NN interval, SDNN, and RMSSD within five minutes after smoking one cigarette

smokers compared to non smokers or moderate smokers [10]. Levin and colleagues found that the HRV of heavy smokers was significantly lower than that of non smokers [44]. Kupari and colleagues observed that HRV was lower in individuals who smoke ≥ 10 cigarettes/day compared to a nonsmokers or to smokers who smoke > 10 cigarettes/day [45]. In line with these findings, Eryonucu et al. showed that the total HRV parameters were significantly lower in smokers than in non-smokers [46] which was confirmed by a recent study showing that regular healthy smokers demonstrate increased LF and LF/HF as well as decreased HF compared to healthy non-smokers [47]. Chronic active smoking has been also shown to reduce HRV during pregnancy of both the mother [48] and the offspring [49] with detrimental effects to the child's health [48]. The decrease in HRV caused by chronic active smoking has been also supported by studies showing that HRV is dramatically decreased in smokers but not in non-smokers when exposed to air pollution [50–52]. Further confirmation of the diminishing effect of chronic active smoking on HRV is provided by studies demonstrating that smoking cessation increases HRV within 7 days in chronic active habitual [53] as well as heavy [54] smokers.

It is important to mention that, although the majority of published evidence suggests that chronic active smoking is associated with decreased HRV, some studies failed to find such a relationship. Murata and colleagues did not observe an association between tobacco consumption and HRV in healthy male and female smokers [55]. Similarly, Kageyama did not find a link between current smoking status and HRV, although PNS modulation among heavy smokers tended to be lower than that among nonsmokers [56].

4.2. Acute effects

The literature presents with five studies investigating the acute effects of active smoking on HRV (Table 1). The first experiment was conducted by Hayano and colleagues who assessed HRV in smokers before smoking one cigarette as well as 3, 10, 17 and 24 min after smoking [10]. Results demonstrated a reduced PNS modulation within 3 min after smoking [10]. Similarly, Niedermaier and colleagues found that PNS activity to the cardiac muscle is reduced by active smoking [24]. Interestingly, this study also revealed that active smoking differentially affects SNS outflow to various target organs. Specifically, smoking was found to increase SNS traffic to the skin, heart, and adrenal glands but to reduce SNS traffic to the musculature [24]. A subsequent study also found that habitual smokers demonstrate a marked disturbance of the neural control of the heart as compared to non-smoking controls characterized by SNS predominance and reduced PNS modulation and overall HRV [57]. In line with

these results, Kobayashi and colleagues found that SNS activity increases and PNS activity decreases within five minutes from smoking one cigarette in smoker taxi drivers during work [58]. Finally, Karakaya and colleagues measured HRV in 15 smokers 5 min before as well as 5, 10, 15, 20, 25, and 30 min after smoking one cigarette [37]. The results demonstrated that smoking reduced the LF/HF, the mean NN interval, the SDNN, and the RMSSD within the first 5 min [37].

5. Passive smoking and HRV

5.1. Chronic effects

To the best of our knowledge, only one study has assessed the chronic effects of passive smoking on HRV (Table 2). In this experiment, Felber Dietrich and colleagues measured HRV through 24-h electrocardiogram recordings in 1218 nonsmokers aged ≥ 50 years [42]. The results demonstrated that individuals who were passively exposed to smoke at home or at work for more than 2 h/day revealed decreased total power, LF and LF/HF as well as increased HF. These results suggest that chronic passive smoking at home and work is associated with lower HRV [42].

5.2. Acute effects

Until relatively recently, we were unaware of the acute influence of passive smoking on HRV. However, two germane experiments have been conducted during the past decade both showing that HRV is decreased by acute passive smoking (Table 2). Specifically, Pope and colleagues evaluated the effects of acute passive smoke exposure in a commercial airport on HRV in 16 adult nonsmokers via ambulatory electrocardiographic monitoring for 8-hr periods while participants alternated 2 h in nonsmoking and smoking areas [59]. Results demonstrated that acute exposure to passive smoke significantly reduced HRV as indicated by changes in VLF, LF, HF, triangular

Table 2
Acute and chronic effects of passive smoking on HRV.

Study	History	Main findings
Felber et al. [42]	Chronic	Two hours/day of smoke exposure decreased total power, LF and LF/HF and increased HF
Pope et al. [59]	Acute	Decreased HRV as indicated by changes in VLF, LF, HF, triangular index, and SDNN
Chen et al. [25]	Acute	Decreased HRV in mice during (3 days) and following (24 hours) passive smoking

index, and SDNN indices [59]. These findings were recently confirmed by a study in mice using a 6-hour exposure to passive smoke for three consecutive days [25]. The results demonstrated that the passive smoking exposure decreased HRV not only during but also beyond the exposure period. Moreover, acute passive smoking was associated with an increased susceptibility for arrhythmia [25].

6. Mechanisms

As observed in the previous sections, the vast majority of evidence provided to date suggests that acute and chronic active and passive smoking generate marked disruptions in the normal autonomic nervous system functioning characterized by increased SNS drive and reduced PNS modulation and overall HRV. Two main mechanistic pathways have been proposed to explain this smoking-induced effect on neurocardiovascular regulation. The principal biomarker in the first mechanism put forth is nicotine, the main constituent of tobacco smoke. Nicotine up-regulates catecholamine release generating potent acute and chronic effects on cardiovascular regulation mainly through SNS activation [37]. This is confirmed by evidence showing that plasma catecholamine levels increase within one minute after smoking a cigarette [60–64]. Interestingly, some evidence suggests that the smoking-induced adrenergic activation does not originate centrally and it is independent of ganglionic sympathetic transmission stimulation [63]. Indeed, smoking has been suggested to act on peripheral sympathetic sites to augment catecholamine release and/or to reduce its clearance at the neuroeffector junctions [63].

While much of the smoking-induced effects on autonomic function have been ascribed to the nicotinic pathway, research has shown that nicotine patches generate a much smaller reduction in HRV compared with smoking, suggesting the involvement of other factors [65]. In this light, a second mechanistic hypothesis has been proposed according to which respirable particles affect neural control of the heart [66]. Indeed, suspended particles resulting from the incomplete cigarette combustion may play an important role in the smoke-induced reduction in HRV [59]. While this notion requires further exploration, it is supported by several animal [67] and human [68–71] studies demonstrating that exposure to particulate matter, especially in the fine and ultrafine range, is linked with a decreased HRV.

7. Clinical implications

The mechanism(s) by which the smoking-induced reduction in autonomic function contributes to cardiovascular-related mortality is not well understood. A recent study in mice suggests that the smoking-induced reduction in autonomic function increases arrhythmia susceptibility (atrial fibrillation, ventricular fibrillation or tachycardia), abnormalities in cardiac electrical conduction, and AV block [25]. This may account for the findings in the epidemiological data linking active and passive tobacco smoking to cardiac arrhythmias and sudden cardiac death [2,72–77]. Lower HRV has also been associated with an increased risk for coronary heart disease, [8,18,78–80] cardiovascular morbidity and mortality [3,19,81–84] as well as ongoing subclinical inflammation [41,85].

Several studies report no relationship between ANS activity, as indicated by HRV, and inflammatory biomarkers [86,87]. There are, however, other published reports that indicate a relationship between HRV and tumor necrosis factor alpha following endotoxin exposure [88]. Thus, the issue remains controversial. Nevertheless, low HRV has been associated with disease [3,81] given its prognostic attributes in myocardial infarction [89] and heart failure conduction disturbances and ventricular dyssynchrony [90–92]. Moreover, increased SNS activity has been related to the development of hypertension, diabetes, and cardiovascular diseases [10,93]. Therefore, the reductions in HRV induced by both acute and chronic active and

passive smoking supported in the majority of the published studies to date may have serious clinical implications characterized by marked disruptions in the normal autonomic nervous system functioning which, in turn, impair cardiac electrical conduction with confirmed detrimental long term effects.

8. Concluding remarks

This review attempts to critically evaluate the existing biological evidence regarding the effects of smoking (both active and passive) on HRV and their associated cardiovascular problems. Overall, the vast majority of published evidence suggests that acute and chronic active and passive smoking generate marked disruptions in the normal autonomic nervous system functioning characterized by increased SNS drive and reduced PNS modulation and overall HRV. This phenomenon is partly attributed to an up-regulation of catecholamine release by nicotine generating potent acute and chronic effects on cardiovascular regulation mainly through SNS activation [37]. In addition, suspended particles resulting from the incomplete cigarette combustion have been also hypothesized to play an important role in the SHS-induced reduction in HRV. The reductions in HRV similar to those induced by acute and chronic active and passive smoking are associated with an impaired cardiac electrical conduction with confirmed detrimental long term effects. Thus, it seems logical to postulate that the smoke-induced HRV reductions may account, at least in part, for the findings in the epidemiological data linking active and passive tobacco smoking to cardiac arrhythmias and sudden cardiac death.

Since the first study assessing the effects of tobacco smoke on HRV, research in this topic has spread into different areas and new scientific evidence continues to accumulate. However, many germane studies are inherently limited. For instance, a large number of epidemiological studies base tobacco smoke exposure on self-report without an objective measurement of exposure, they adopt a cross-sectional design, and they provide little data on the duration of the exposure or tobacco use. On the other hand, many mechanistic studies rely on animal models which are inherently limited, particularly in relation to the level and duration of tobacco exposure and use, as well as their relevance to humans. Nevertheless, the literature also contains outstanding experiments that have provided valuable evidence effects of tobacco smoke on cardiac autonomic regulation.

Notwithstanding the increased attention on the effects of tobacco smoke on HRV and the excitement for the continuously emerging discoveries in this area, we remain largely naive to issues as critical as the mechanisms causing the smoke-induced decrease in HRV. Furthermore, a standardization of the HRV indicators used in future research should be conducted, as studies thus far tended to evaluate different HRV indicators making their results difficult to compare. Finally, future research should address the effects of acute and chronic passive tobacco smoke on HRV given the dearth of published data on this topic.

Acknowledgments

This work was supported in part by funding from the European Union 7th Framework Program (FP7-PEOPLE-IRG-2008 grant no. 239521). The authors of this manuscript have certified that they comply with the Principles of Ethical Publishing in the International Journal of Cardiology (Shewan and Coats 2010;144:1-2).

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